U.S. DEPARTMENT OF STATE
DIRECTORATE OF DEFENSE TRADE CONTROLS

REQUEST FOR COMMODITY JURISDICTION (CJ)/U.S. MUNITIONS LIST (USML) DETERMINATION

*Transaction Number: ________________________________

Please note that an Asterisk (*) next to a field or block in a document designates a mandatory field or block.

No classified information can be included in this request. Classified information must be sent separately to PM/DDTC in accordance with the Defense Security Service guidelines.

Classified information is being sent under separate cover ☐

To select and open a document, highlight a form and select the “Open Document” button. The document that you selected will open.

Required Documents

<table>
<thead>
<tr>
<th>Document</th>
<th>Included Documents</th>
</tr>
</thead>
<tbody>
<tr>
<td>DS-4076</td>
<td>Open Document</td>
</tr>
<tr>
<td>Descriptive Literature</td>
<td></td>
</tr>
<tr>
<td>Specification sheets</td>
<td></td>
</tr>
</tbody>
</table>

Optional Documents

<table>
<thead>
<tr>
<th>Document</th>
<th>Included Documents</th>
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</thead>
<tbody>
<tr>
<td>Marketing Literature</td>
<td></td>
</tr>
<tr>
<td>Letter of authorization from Manufacturer</td>
<td></td>
</tr>
<tr>
<td>List of Sales/Exports</td>
<td></td>
</tr>
<tr>
<td>Design Technical Data</td>
<td></td>
</tr>
<tr>
<td>Manufacturing/Design Drawings</td>
<td></td>
</tr>
<tr>
<td>DoS Licenses</td>
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<tr>
<td>DoC Licenses</td>
<td></td>
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<tr>
<td>Other Amplifying Data</td>
<td></td>
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</tbody>
</table>

Open Document
# UNITED STATES OF AMERICA DEPARTMENT OF STATE

## REQUEST FOR COMMODITY JURISDICTION (CJ)/U.S. MUNITIONS LIST (USML) DETERMINATION

### *1. Date Prepared*  

<table>
<thead>
<tr>
<th>Date Prepared</th>
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</thead>
<tbody>
<tr>
<td></td>
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</table>

### *2. This submission is from (select one)*  

<table>
<thead>
<tr>
<th>Please specify</th>
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<tbody>
<tr>
<td></td>
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</table>

### *3. Information on Requester*  

#### a. Name and Address of Requester  

<table>
<thead>
<tr>
<th>Name</th>
<th>Attention</th>
<th>Address</th>
<th>City</th>
<th>State</th>
<th>ZIP Code</th>
<th>Telephone #</th>
<th>Ext.</th>
</tr>
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<tbody>
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</tbody>
</table>

#### b. Requester’s Point of Contact: Name, telephone number, FAX number, and email address if U.S. Government needs additional information  

<table>
<thead>
<tr>
<th>Name</th>
<th>Telephone #</th>
<th>Ext</th>
<th>Fax #</th>
<th>Email Address</th>
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</thead>
<tbody>
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</tbody>
</table>

#### c. Is, or has the requester been registered with PM/DDTC?  

<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
<th>PM/DDTC Applicant/Registrant Code</th>
</tr>
</thead>
<tbody>
<tr>
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</table>

### *4. Information on Entity(ies) on behalf of which request is submitted*  

#### a. Name and Address of Entity  

<table>
<thead>
<tr>
<th>Name</th>
<th>Attention</th>
<th>Address</th>
<th>City</th>
<th>State</th>
<th>ZIP Code</th>
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<table>
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<tr>
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<tr>
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</tbody>
</table>

### *5. Type of Request (select one from either a or b)*  

#### a. Request for commodity jurisdiction  

<table>
<thead>
<tr>
<th>CJ determination that product is or is not covered by the USML</th>
<th>CJ request to remove article from USML</th>
<th>Request for reconsideration of a previous CJ determination</th>
</tr>
</thead>
<tbody>
<tr>
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<table>
<thead>
<tr>
<th>Case Number:</th>
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<tbody>
<tr>
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</table>

#### b. Request for USML determination  

<table>
<thead>
<tr>
<th>Confirmation for USML Category</th>
<th>Change a USML Category</th>
</tr>
</thead>
<tbody>
<tr>
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</table>

<table>
<thead>
<tr>
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<table>
<thead>
<tr>
<th>Add More Entities</th>
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<tbody>
<tr>
<td></td>
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</tbody>
</table>
6. Rationale for change of a USML Category or reconsideration of previous commodity jurisdiction determination

7. Description of Product

*a. Type of Product (select all that apply)

☐ Technical Data  ☐ Services  ☐ Hardware

*b. Product Name

*c. Model Number

*d. Part Number

*e. Manufacturer Name and Address

☐ Same as Block 3  ☐ Same as Block 4

Name
Address
City
State
ZIP Code
Country

Add More Manufacturers

*f. The product that is the subject of the request is (select one)

☐ New  ☐ Currently being produced  ☐ In development

*g. Is the product currently being marketed?

☐ Yes  ☐ No

*8. Define the product or service or the product for which the service will be provided (see 22 CFR 121.8 for definitions) (select one)

☐ End Item  ☐ Component major  ☐ Component, minor  ☐ Part  ☐ Accessory/attachment  ☐ Firmware  ☐ Software  ☐ System

*9. Military/Commercial Modifications

a. Has the product or service in Block 7 been specifically designed, developed, or configured for a military application? ☐ Yes ☐ No
b. Has the product or service in Block 7 been specifically adapted or modified for a military application? ☐ Yes ☐ No
c. Was the commodity a Military article or service adapted, reconfigured or modified for commercial use? ☐ Yes ☐ No
d. Define in specific detail the modifications/changes and the capabilities of the product or service. ☐ There have been no modifications/changes

View Full Description
*10. Describe the product’s use (what it does, how it works, and the components/end items/systems in which it is used) and identify any special characteristics/capabilities

[Blank space for description]

*11. The product was originally designed, developed, configured, adapted and/or modified for (select one)

- [ ] Use by a U.S. Government Agency
- [ ] Use by a Foreign Government Agency
- [ ] Defense firm
- [ ] Commercial application
- [ ] Other
  - Please specify

*12. The funding source(s) for the product’s development was (select at least one)

- [ ] Army
- [ ] Navy
- [ ] Air Force
- [ ] NASA
- [ ] Other Private Source
- [ ] Homeland Security
- [ ] DARPA
- [ ] NSA
- [ ] Applicant
- [ ] Other U. S. Government Source

- Please specify

13. Identify the U.S. Government program(s) that provided the funds and a U.S. Government point of contact

<table>
<thead>
<tr>
<th>Program</th>
<th>POC Name</th>
<th>Agency</th>
<th>Telephone #</th>
<th>Ext.</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
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<tr>
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<tbody>
<tr>
<td>3.</td>
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</table>

- Add More Programs
*14. Existing Market Information

a. The existing markets for the products are (select one)
   - Commercial
   - Military
   - Commercial and Military
   - Produced, None Exist
   - None, Currently in development

b. Specify the number of units sold in each market for the past 10 years

<table>
<thead>
<tr>
<th>Year (YYYY)</th>
<th>Commercial Units</th>
<th>Military Units</th>
<th>Total Units Sold</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
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</table>

c. Identify the types of U.S. customers to which the products have been sold (select at least one)

- DoD
- Government Agencies
- Defense Contractors/Industry
- National Laboratory
- Commercial Industry
- None

View Full Description

d. Provide all the current uses for the product

View Full Description

e. Identify the types of foreign customers to which the product has been sold (select at least one)

- MOD
- Border Security
- Gendarmerie
- Government Intelligence Agencies
- National Police
- Commercial Security
- Government Owned Airlines
- Defense Contractors/Industry
- Coast Guard
- Customs
- Commercial Airlines
- None

- Other If other, please specify

*15. Has this product or any variant been exported?  Yes  No  Unknown

If yes, under whose licensing jurisdiction; (Select all that apply)

a.  State

   Enter License and/or Agreement Number(s)

b.  Commerce

   Enter Case Number(s)

c.  Other

   Please specify

   Enter Case Number(s)
*16. The requester has knowledge that USML Determination/Commodity Jurisdiction (CJ) (select one)

- [ ] Has never been requested for this product
- [ ] Unknown
- [ ] Has been requested
- [ ] Has been requested and completed

Case Number: 

*17. The requester has knowledge that a Commodity Classification (select one)

- [ ] Has never been requested for this product
- [ ] Unknown
- [ ] Has been requested
- [ ] Has been requested and completed

Case Number: 

ECCN: 

*18. Identify the USML or ECCN Category that you believe currently controls the product. (select one)

- [ ] USML Category, Paragraph and Subparagraph
- [ ] CCL ECCN (e.g. 2A000)
- [ ] Unknown

If you identified an USML or ECCN, provide rationale for selection of number

View Full Description

*19. Is the product that is the subject of this request also the subject of an enforcement matter? [ ] Yes [ ] No

a. If yes, what is the kind of enforcement matter? (select at least one)

- [ ] Detainment/seizure
- [ ] Civil Proceeding
- [ ] DDTC Voluntary Disclosure
- [ ] Criminal Proceeding
- [ ] Office of Export Enforcement (OEE)/Department of Commerce (DOC)
- [ ] Other

Please specify

b. If yes, provide details of the enforcement activity

View Full Description
20. Provide any additional information that might assist in processing your request


   a. Would you have any objections to the Government publishing (e.g., DDTC Internet Website) the language describing the product as stated in Block 7a?  
      ○ Yes  ○ No

   b. Provide a description of the product that does not include proprietary or other controlled information so that an abstract of the case disposition may be posted on the DDTC Internet web site for public access and information

   c. If you object to such a posting, provide detailed rationale for DDTC consideration
Subsidiary Information under Block 7, Page 3 of the DS-4076

Name
Attention
Address
City

State  ZIP Code  Ext

Telephone #

Continuation to Block 15, Page 5 of the DS-4076

The product was previously authorized for export from the U.S.

a. by State
□ license number(s)

□ agreement (technical assistance agreement or manufacturing agreement) number(s):

b. by Commerce  Provide case number(s):

□

c. by Other  Provide reference number(s):

□